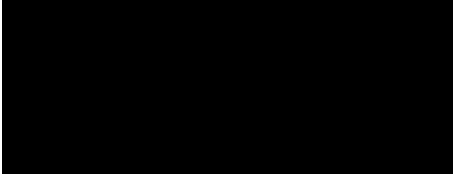


ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

February 26, 2021

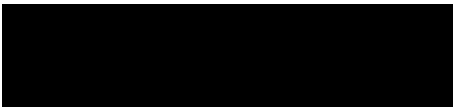


Reference: **FR2021-0112-0194/E**

Org Id: 22333

1. Security Overview Report
Rules: FIN 2210, FIN 2214
10 Pages

Total Fee: \$125



The material submitted generally appears consistent with applicable standards.

Reviewed by,

Meredith B. Mesa Meadows
Associate Principal Analyst

hrm

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.



***NOTE:** We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*