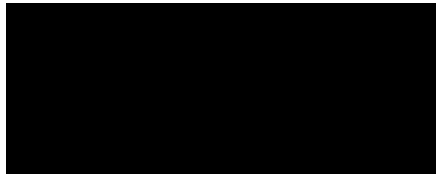




**ADVERTISING REGULATION DEPARTMENT REVIEW LETTER**

November 06, 2020



Reference: **FR2020-1005-0003/E**

Org Id: 22333

1. Riskalyze Template PowerPoint t  
Rule: FIN 2210  
12 Pages

Total Fee: \$145



A revision is necessary for this material to be consistent with applicable standards.

Pursuant to FINRA Rule 2210(d)(3), the material must be revised to prominently disclose your member name as disclosed on your Form BD

Reviewed by,

Meredith B. Mesa Meadows  
Associate Principal Analyst

brm



***NOTE:** We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*